

**NEW DELHI MUNICIPAL COUNCIL**  
**NDMC PALIKA KENDRA, NEW DELHI**

**Annual Performance Assessment Report (APAR) for the  
officers of the NDMC**

Chief Engineer/ Addl. Chief Engineer/ Chief Architect/ Dy. Chief Architect/  
Sr. Architect/ Architect / Director (Hort.)/ Addl. Dir. (Hort.)/ Dy. Dir. (Hort.)/  
Superintending Engineer/ Executive Engineer / Assistant Engineer

Period of Assessment from \_\_\_\_\_ to \_\_\_\_\_

**PART-I**

**PERSONAL DATA**

1.1 Name of Officer :

Employee Code No.

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1.2 Date of Joining the Service :

1.3 Date of Birth :

1.4 Date of continuous appointment to present grade :      Date                      Grade

1.5 Present post and date of posting thereto                      Date                      Grade

1.6 Period of discontinuity from duty: a) On account of Leave

b) On account of Training/other official  
Assignments

1.7 Academic and Professional Qualifications:

1.8 Registration No. of Council of Architecture:  
And date upto which it is valid (applicable  
in case of Architects only.

1.9 Inland/Foreign Training/Refresher Courses undertaken:  
And Professional qualification attained

1.10 Fellowship/Membership of Professional Bodies/  
Departmental Exam qualified

## **PART- II Self Appraisal for the year**

2.1 Brief description of duties of the post

2.2 Please specify the quantitative/ physical/ financial targets/ objectives (8 to 10 items priority-wise/ in order of importance) that were set for you and your achievements against each target.

Targets:

Achievements:

2.3 Please comment briefly on your achievements with reference to targets/objectives referred to in column 2.2. Please also indicate significantly higher achievements in relation to the targets and your contribution thereto.

2.4 Please comments briefly on the shortfalls with reference to the targets referred to in column 2.2. Please specify the constraints/if any, in achieving the targets.

2.5 Have you filed your immovable property return as due. Yes/No Date  
If yes, please mention date

a) Have you set the annual work plan for all officers for the Current year in respect of whom you are the Reporting Authority? Yes/No

b) Have you written the APAR of all officers in respect of Whom you are the reporting authority? Yes/No

2.6 Any other additional and unforeseen assignments carried out/Initiatives undertaken worth mentioning.

(Officer Reported upon Signature with date)

**PART-III**

3.0 Do you agree with the self appraisal of the officer with regard to targets and achievements. Indicate reasons and extent of disagreement with reference to 3.1 below, if any.

3.1 Assessment of work output of Reported Officer (weight age 40%)  
On scale 1-10: See instructions.

	<b>Reporting Authority</b>	<b>Reviewing Authority</b>	<b>Initial of Reviewing Authority</b>
i) Extent of Accomplishment of planned work as per para 2.2 above			
ii) Quality of work output			
iii) Accomplishment of additional assignments of initiatives undertaken as per para 2.6 above			
Overall Grading on Work Output			

3.2 Assessment of personal attributes (weightage 30%)  
On scale 1-10: See instructions

	<b>Reporting Authority</b>	<b>Reviewing Authority</b>	<b>Initial of Reviewing Authority</b>
i) Attitude to work			
ii) Sense of responsibility and initiative taking ability			
iii) Discipline			
iv) Communication skills			
v) Leadership qualities			
vi) Disposition for team work			

●) Capacity to work to time lines			
viii) Inter-personal relations			
Overall Grading on personal attributes			

3.3 Assessment of functional competency (weight age 30%)  
On scale 1-10 : See instructions

	Reporting Authority	Reviewing Authority	Initial of Reviewing Authority
i) Knowledge of Rules/ Regulations/ Procedures in the area of function and ability to apply them effectively			
ii) Strategic planning ability			
iii) Decision making ability			
iv) Analytical Ability			
v) Coordination ability			
vi) Ability to motivate and develop subordinates			
Overall Grading on functional competency			

Overall grading of Part-III on scale 1-10

Grading	Reporting Authority	Reviewing Authority	Initial of Reviewing Authority
Part 3.1			
Part 3.2			
Part 3.3			
Overall Grading			

**PART-IV : General Attributes (Weightage not applicable)**

4.1 Integrity : Please comment on integrity of the officer :

4.2

	Reporting Authority	Reviewing Authority	Initial of Reviewing Authority
i) State of Health			
ii) Attitudes towards weaker section and SC/ST/OBC			
iii) Aptitude and potential please mention about the aptitude of the officer and areas in which he has potential to develop alongwith training needs.			

4.3 Pen Picture in about 70 words :

Date :

Signature of Reporting Officer

Place :

**PART-V: REVIEW**

I) Length of Service under Reviewing Authority

II) Do you agree with the assessment made by the Reporting Officer with respect to the work output and various attributes in Part-III. In case you do not agree with any of the numerical assessment or attitudes, please record your assessment in column provided for in that part and initial your entries.

Yes

No

- III) In case of difference of opinion details and reasons for the same may be given
- IV) Remarks/observation on the Pen Picture by the Reporting Officer:
- V) Overall Grade on scale 1-10

Date:

Signature of Reviewing Officer

Place:

**PART-VI: REMARKS OF THE COUNTERSIGNING OFFICER**

Signature.....

Name in Block Letters.....

Designation.....

## **INSTRUCTIONS**

### **Guidelines regarding filling up of APAR with numerical grading**

- i) The APAR is an important document. It provides the basic and vital inputs for assessing the performance of an officer and for his/her further advancement in his/her career. The officer reported upon, the Reporting Authority, the Reviewing Authority and the Accepting Authority should therefore, undertake the duty of filling out the form with a high sense of responsibility. The columns in the APAR should be filled with due care and attention and after devoting adequate time. Also please refer DoPT O.M. No. 21011/1/2005-Estt(A)(Pt.II) dated. 23.07.2009 and 14.05.2009. For further details. DoPT website at [www performance gov.in](http://www.performance.gov.in) can be viewed.
- ii) Please also refer the Website of DoPT and the instructions contained in on preparation and maintenance of APAR for Central Civil Services, Section 2.11 and 5.2.5.3 wherein the importance of writing/reviewing of the APAR within time frame which is also herewith attached. The right to write the APAR will lapse after that time frame.
- iii) Performance appraisal through APAR should be used as a tool for human resource development. Reporting Officer should realize that the objective is to develop an officer so that he/she realizes. His/her true potential. It is not meant to be a fault-finding process but the developmental one. The Reporting Officer and the Reviewing Officer should not shy a way from reporting shortcomings in performance. Attitudes or overall personality of the officer reported upon.
- iv) Pen Picture of the official in about 70 words should include any special characteristics or any exceptional merits of capability justify his/her selection for special assignments or out of turn promotion. It may include comments on the overall qualities of the officer including area of strengths and lesser strength or weaknesses.
- v) Numerical grading are to be awarded by reporting and reviewing authorities for the quality of work output, personal attributes and functional competence of the officer reported upon. These should be on a scale of 1-10 where 1 refers to the lowest grade and 10 to the highest. The guidelines given in Annexure -I shall be kept in mind while awarding numerical gradings. It is expected that any grading of 1 or 2 (against work output or attributes or overall grade) would be adequately justified in the pen-picture by way of specific failures and similarly any grade of 9 or 10 would be justified with respect to specific accomplishments. Grades of 1-2 or 9-10 are expected to be rare occurrences and hence the need to justify them. In awarding a numerical grade the reporting and reviewing authorities should rate the official against a larger population of his/her peers that may be currently working under them.
- vi) APARs graded between 8 and 10 will be rated as 'Outstanding' and will be given a score of 9 for the purpose of calculating average scores for empanelment/promotion.
- vii) APARs graded between 6 and short of 8 will be rated as 'Very Good' and will be given a score of 7.
- viii) APARs graded between 4 and 6 short of 6 will be rated as 'Good' and given a score of 5.
- ix) APARs graded below 4 will be given a score of zero.



- x) All attributes under Section 3.1, 3.2, 3.3 carry equal weightage in their respective sections. Cutting and over writing to be avoided, if unavoidable to be initiated.
- xi) In case of any aptitude not applicable in 3.1, 3.2, 3.3, a remarks to this effect may be given and no numbering be assessed.
- xii) Reporting Officer shall prior to the commencement of the reporting year i.e. April, fix assignments and tasks with targets and objectives for the reported officer in consultation with each of the officers with respect to whom he is required to report upon. Performance appraisal should be a joint exercise between the officer reported upon and the Reporting Officer.
- xiii) Although performance appraisal is a year-end exercise in order that it may be a tool for human resource development, the Reporting Officer and the officer reported upon should meet during the course of the year at regular intervals to review the performance and take necessary corrective steps, as warranted.
- xiv) Some posts of the same rank may be more exerting than others. The degree of stress and strain in any post may also vary from time to time. These facts should be borne in mind during appraisal and should be commented upon appropriately.
- xv) NOTE: The following procedure should be followed in filling up the column relating.

To Integrity:

- 1. If the Official's integrity is beyond doubt, it may be so stated.
- 2. If there is any doubt or suspicion, the column should be left blank and action taken as under:
  - a) A separate secret note should be recorded and followed up. A copy of the note should also be sent together with the Performance Assessment Report to the next superiod officer who will ensure that the follow up action is taken expeditiously. Where it is not possible either to certify the integrity of to record the secret note, the Reporting Officer should state either that he had not watched the officer's work for sufficient time to form a definite judgment or that he has heard nothing against the officer, as the case may be.
  - b) If, as a result of the follow up action, the doubt or suspicious are cleared, the officer's integrity should be certified and an entry made accordingly in the Performance Assessment Report.
  - c) If the doubts or suspicions are confirmed the fact should also be recorded and duly communicated to the officer concerned.
  - d) If as a result of the follow up action, the doubts or suspicions are neither cleared nor confirmed, the officer's conduct should be watched for a further period and thereafter action taken as indicated at (b) and © above.
- xvi) Where a Reporting Officer/Reviewing Officer retires, he may be allowed to give the report on his subordinates within one month of retirement.

Atleast 3 months experience of Supervising the work and conduct of Govt. Servant reported upon should be there before assessing APAR.

If the Reporting/Reviewing Officer is under suspension when the annual APAR has become due to be written/reviewed, it may be written/reviewed within 2 months from the date of his having placed on suspension or one month from the date on which the report was due whichever is later.

- xvii) Where an officer has taken Earned Leave for a period of more than 15 days, the total period spent on leave can be deducted from the total period spent on any post, for the purposes of computing the period of 3 months which is relevant for writing of entries in the APAR. Leave taken for short term duration need not be treated as relevant for the purpose.
- xviii) After the expiry of the first week of the time-schedule (15th April), if the self appraisal is not received by that time, Reporting Officer should take it upon himself to remind the officer to be reported upon in writing, asking him to submit his self-appraisal. If no self-appraisal is received by the stipulated date, the reporting officer can obtain another blank APAR form and proceed to write the report on the basis of his experience of the work and conduct of the officer reported upon. While doing so, he can also point out the failure of the officer reported upon to submit his self-appraisal within the stipulated time.
- xix) If the APAR is not initiated by the Reporting Officer for any reason beyond 30th June of the year in which financial year ended. He shall forfeit his right to enter any remarks in the APAR of the officer reported upon and he shall submit all APAR held by him for reporting to the reviewing officer on the next working day.

The controlling officer has been directed to call for explanation of the concerned officers for not having perform the public duty of not writing the APAR within due date and his absence of justification direct that a written warning for delay in completing the APAR be placed in the APAR folder of the defaulting officer concerned.

- xx) The full APAR including the overall grades and assessment of integrity shall be communicated to the concerned officer after the report is complete with the remarks of the reviewing officer and the accepting authority, wherever such a system is in vogue.
- xxi) The assessment in Part-IV is descriptive only and no grading is to be provided.